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1 Running head: Survivors control forest development

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Survivors, not invaders, control forest development following simulated hurricane

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1 **Key words:** Hurricane, experiment, *Quercus rubra*, *Acer rubrum*, *Betula lenta*, 1938 hurricane,
2 disturbance, New England, LTER.

3 **Introduction**

4 Wind disturbance strongly shapes forest structure, function, and dynamics in temperate
5 forests (Boose 2001, Papaik and Canham 2006). Major hurricanes generate large but
6 heterogeneous openings, increased coarse woody debris, pit-and-mound microtopography, and
7 major changes in understory light and temperature availability. Overstory trees exhibit a range of
8 responses to wind events, including sudden or delayed mortality, reduced or enhanced growth,
9 recovery, and sprouting (Cooper-Ellis et al. 1999). These impacts leave enduring legacies in the
10 structure and composition of the overstory and understory.

11 The trajectories of vegetation change following windstorms and the mechanisms
12 underlying these changes are poorly understood (Lugo 2008) despite many post-hoc studies of
13 forest response to wind. For example, in New England, hypotheses of the role of windstorms in
14 structuring forest dynamics are strongly influenced by studies of the 1938 “Great Hurricane.”
15 Like many studies of disturbance, research on the 1938 hurricane lacked both controls and
16 systematic before-and-after measurements (Rowlands 1941, Foster 1988a,b, Merrens and Peart
17 1992) and emphasized immediate impacts and intermittent multi-decadal sampling rather than
18 continuous trajectories (cf. Spurr 1956, Henry and Swan 1974, Mabry and Korsgren 1998). Such
19 studies may miss critical stages in recovery, such as regeneration and the mechanisms involved,
20 or short-lived episodes of rapid growth or population expansion. Finally, although interpreted in
21 terms of natural processes, the 1938 event was strongly conditioned by cultural history and
22 activity (Foster et al. 1997). New England was a post-agricultural landscape dominated by
23 successional *Pinus strobus* in abandoned fields that were more susceptible to wind damage than

1 native mixed hardwood and conifer forests (Foster 1988b). The culturally conditioned patterns of
2 forest damage were modified by region-wide salvage harvesting of downed and damaged trees,
3 soil scarification, and the burning of slash (NETSA 1943); in many aspects the salvage was a
4 more profound disturbance than the hurricane itself (Foster and Orwig 2006). Consequently, the
5 understanding of post-hurricane forest dynamics emerging from the 1938 event is suspect as a
6 representation of natural forest dynamics.

7 In 1990, an “experimental hurricane” was established in a mixed hardwood forest in
8 order to examine the patterns and mechanisms of damage and recovery following canopy
9 blowdown more rigorously. Gap theory emphasizes spatial scale of disturbance as a key
10 determinant of regeneration and future forest composition (Whitmore 1989, Sipe 1994). Thus,
11 the 8000 m² hurricane simulation was expected to follow the catastrophic regeneration mode
12 described by Veblen (1992), in which the pulse regeneration of shade-intolerant woody and
13 herbaceous species following large-scale disturbance allows them to coexist with more tolerant
14 species.

15 This framework led to an initial hypothesis that newly established seedlings of light-
16 demanding pioneer species would play a major role in the new forest canopy. Consequently
17 early efforts (Carlton and Bazzaz 1998) investigated seedling regeneration in the complex
18 microenvironments of the hurricane manipulation, focusing especially on congeneric *Betula*
19 species (*B. lenta*, *B. alleghaniensis* and *B. papyrifera*). Their finding that the pioneer *B.*
20 *papyrifera* grew the fastest fit well with gap theory and led them to predict that it would be the
21 most likely species to recruit into the canopy. Cooper-Ellis et al. (1999) reported vigorous
22 seedling establishment and colonization of light-demanding understory vegetation in the first six
23 years following the disturbance, but also noted a surprising amount of survival and sprouting

1 response of damaged trees. At this point in the study's trajectory, the hypothesis that sprouts
2 could dominate forest regeneration emerged, as was observed in tropical forests by Putz and
3 Brokaw (1989).

4 After 20 years, we can assess how well the long-term trajectories of forest regeneration
5 and forest tree and understory composition match these earlier observations and predictions. The
6 presence of a surprisingly dynamic control plot also allows an assessment of the divergence of
7 developmental trajectories in the damaged and undisturbed forests. Based on the patch size and
8 disturbance magnitude, we expected regeneration at the manipulated site to fit the catastrophic
9 regeneration mode (Veblen 1992), with pioneer tree and understory species driving succession.

11 **Methods**

12 *Experimental design and manipulation*

13 The hurricane manipulation experiment is located on a gentle northwest slope in the Tom
14 Swamp Tract of the Harvard Forest (72.20 °N, 42.49 °W, 300-315 m a.s.l.) on well-drained to
15 moderately well-drained stony loams derived from glacial till overlying schist bedrock. The 75-
16 year old *Quercus rubra-Acer rubrum* forest developed following a clearcut in 1915 (Harvard
17 Forest Archives, *unpub. data*). The study area is surrounded by similar forest. The climate is cool
18 temperate (July mean 20° C, January mean -7° C); 1100 mm average precipitation is distributed
19 evenly throughout the year.

20 A 0.8 ha experimental site (50 x 160 m, the "pulldown") and 0.6 ha (50 x 120 m) control
21 site were oriented approximately east to west and separated by a 30 m forest buffer (**Fig. 1**).
22 Given limited resources, we established a single large plot representative of hurricane damage
23 patch size in this landscape (Foster and Boose 1992), and analyzed our data with before-after-

1 treatment analysis. Prior work (Rowlands 1941, Foster 1988b) that examined the relationship
2 between damage and forest composition and age in the 1938 hurricane guided the level of
3 damage in this experiment, which was controlled by pulling down selected trees. During peak
4 hurricane season in early October 1990, 276 trees were toppled in a northwesterly direction of
5 natural treefall (Boose et al. 2001), using a winch and steel cable attached ca. 6 m up the bole of
6 each tree. Force was applied by the winch only until the stem or roots failed and the mass of the
7 crown brought the tree down. Stems were not pulled beyond their initial point of repose. The
8 winch was positioned off the study site so that all plant and soil disturbance resulted from
9 uprooting or bole breakage, plus damage to 325 trees hit by the toppled trees. The manipulation
10 effectively simulated the effects of a hurricane in terms of overstory damage, damage to
11 intermediate and understory vegetation, and physical structure. Eighty percent of the canopy
12 trees, and two-thirds of all trees ≥ 5 cm DBH, were damaged directly or indirectly by the
13 manipulation. The manipulation also strongly altered microsite conditions: following the
14 pulldown, pits and mounds covered 8% of the site and uprooted tree stems and branches covered
15 13% (Cooper-Ellis et al. 1999).

16 *Field sampling*

17 Prior to the manipulation, all trees ≥ 5 cm DBH were tagged and a 10 m grid and tree
18 coordinates were mapped using tree-to-tree distance measurements and the INTERPT program
19 (see Boose et al. 1998, Harvard Forest Data Archive: HF023 for detailed methods). Immediately
20 following the manipulation, all damaged trees were classified as bent, leaning, snapped or
21 uprooted. Before the manipulation (1990), annually from 1991-1996, and in 2000, 2005 and
22 2010, we followed survival and sprouting of each individual tree in the pulldown and control
23 sites. Crown vigor was rated on a 4-point scale, presence/absence of trunk sprouts noted, and

1 basal sprouts counted. Tree diameters were measured in 1990, 1996, 2000, 2005 and 2010.
2 Recruitment of trees to the >5 cm DBH size class was tallied (diameter, species, growth form,
3 microsite, location) across both sites in 2000, 2003, 2006 and 2009. In 2010, live recruits were
4 tagged and mapped to the nearest 0.5 m based on their locations relative to grid posts and
5 surviving trees. Diameter distributions were constructed with 5 cm size-class intervals using the
6 1990 and 2010 diameter measurements for all trees (including recruits in 2010). In addition, a
7 post-disturbance diameter distribution was constructed for the pulldown by excluding trees that
8 ceased leafing by 1996 (i.e., a tree that leafed out in the first 1-5 years but subsequently died is
9 excluded from this set).

10 Regeneration and understory dynamics were assessed in 24 2×5 m plots randomly
11 established on each of three E–W transects in the pulldown (n=72) and on one transect in the
12 center of the control (n=24). The three transects in the pulldown were placed in the north, center
13 and south of the plot to capture within-plot gradients. Individual sapling (stems >30 cm tall and
14 <5 cm diameter) growth and survival were measured every three years (1990–1999) in these
15 plots and classified as advance regeneration, sprout, or of new seedling origin. Before (1990) and
16 after (1991, 1992, 1995, 2000, 2005 and 2010) the manipulation, the composition and abundance
17 (cover to the nearest 1%) of shrubs were recorded in these plots, and herb composition and
18 percent cover were sampled in 1 m² subplots nested within the sapling/shrub plots.

19 Litterfall was collected from 13 plastic laundry baskets in the experimental site and 12
20 baskets in the control site (basket area 0.2345 m² each) three times each year. Oven-dry mass
21 was determined, and annual litterfall mass was estimated by combining the main leaf-fall
22 collected in November with the subsequent June and early September collections (e.g., 2009
23 annual litterfall is the sum of Nov. 2009, June 2010 and Sept. 2010).

1 Dead wood volumes were estimated using data from a fuel-loading survey. We followed
2 planar intersect methods of Brown (1974), except that rooted (but dead) pieces were included
3 (e.g. partially uprooted trees and their branches). In 1993, twelve 16 m long planar transects were
4 sampled in the pulldown, and sixteen in the control. This survey was repeated in 1998 and 2010.
5 Volume of coarse woody debris was calculated from pieces ≥ 7.5 cm diameter.

6 *Statistical analyses*

7 Twenty years is not long enough in the life of a forest to develop meaningful time-series
8 analyses; rather, we analyzed trajectories of change using ANCOVA, with treatment as a fixed
9 factor and time as a continuous covariate (Ellison and Gotelli *unpublished manuscript*).

10 Contrasts between the pulldown and control reveal any differences in magnitude of response.

11 The interaction term (time \times treatment) indicates whether the pulldown differs from the control
12 in direction or rate of response. Prior to analyzing trajectories of change for litterfall, basal area,
13 and understory vegetation cover, data were scaled to the 1990 pre-treatment observations by
14 dividing each observation in a treatment by the pre-treatment value. We used site-level averages
15 of observations from multiple plots per site (shrub and herb cover, litterfall) to avoid spatial
16 pseudoreplication. Analyses were conducted in R 2.9.2 (R Development Core Team 2009).

17 In the pulldown, litterfall increased rapidly for the first few years, then appeared to
18 stabilize. A breakpoint (identified with the R strucchange library; Zeileis et al. 2011) in the
19 relationship between time and litterfall was detected at year 6 in the pulldown data (**Appendix C,**
20 **Table C2**), so we analyzed the two sub-sets of data (1991-1996 and 1997-2010) separately.

21 We evaluated changes in the community composition of shrubs and herbs using mean
22 abundance of each species for each year and treatment. Species not found in a minimum of three
23 of the 14 year/treatment combinations were excluded from the ordinations; *Carex*, *Rubus*,

1 *Vaccinium* and *Viola* species were pooled into their respective genera. Non-metric
2 multidimensional scaling (NMDS) ordination was performed using the function metaMDS in the
3 vegan package (Okansen et al. 2012). Bray-Curtis dissimilarities and $k=3$ were used for both
4 shrubs and herbs. Increasing k resulted in lower stress but very similar results, so the simpler
5 models were chosen. Double Wisconsin standardization was applied to the herb data based on
6 the default thresholds in the metaMDS command.

7 Results

8 *Changes in the structure and composition of the arboreal layers*

9 The pulldown and control sites had similar initial basal area and density (**Appendix A**).
10 The experimental manipulation killed about half of the trees within three years, and by 2010,
11 only 31% of the original trees >5 cm DBH remained living. After ten years, recruitment had
12 replenished tree numbers, but basal area in the pulldown plot was still 78% of the pre-
13 manipulation amount in year 20 (**Fig. 2a**: effect of **year** – $F_{1,6}=67.88$, $P < 0.001$; **treatment** –
14 $F_{1,6}=462.58$, $P < 0.001$; **year** \times **treatment** – $F_{1,6}=6.69$, $P=0.041$; a full summary table for the
15 ANCOVA analyses is in **Appendix C, Table C1**). Leaf area in the pulldown site recovered in
16 six years (breakpoint 95% CI encompassed years 3-8; **Fig. 2b**). Litterfall in the pulldown
17 increased from 1991-1996 (**year** – $F_{1,8}=8.35$, $P=0.020$), but was steady ($P=0.57$) and did not
18 differ from the control ($P=0.34$) from 1997-2010. Litterfall remained steady in the control over
19 the 20 years ($P=0.914$).

20 Forest structure and composition were shaped in the pulldown by a combination of
21 survivors and a new cohort of trees (**Fig. 3**). Although surviving *Q. rubra* contributed 42% of the
22 2010 basal area (a decrease from 67% in 1990), it comprised $<1\%$ of the new cohort. *A. rubrum*
23 numbers decreased but it contributed relatively more basal area in 2010 (19%) than in 1990

1 (13%) with a combination of undamaged survivors, recovering damaged trees and regeneration
2 from sprouts and saplings. *Betula lenta* basal area increased from 3% to 21% from 1990 to 2010,
3 mainly as recruits from advance regeneration. Light-demanding species including *Prunus*
4 *serotina* and *P. pensylvanica*, *B. papyrifera* and *Pinus strobus* collectively contributed about 8%
5 of the 2010 basal area. The control plot, in contrast, has a bimodal diameter distribution mainly
6 composed of large diameter *Q. rubra* and small diameter *A. rubrum*, and negligible contribution
7 of new recruits in 2010. *Q. rubra* increasingly dominates the control site (rising from 70% to
8 80% basal area from 1990-2010).

9 Canopy tree species richness changed little over 20 years. The pulldown site gained three
10 tree species (*Populus grandidentata*, *P. pensylvanica* and *Tsuga canadensis*), and so rose from
11 14 tree species to 17. The control site gained one tree species (*Fagus grandifolia* grew into the
12 tree-size class) and lost one (the one stem of *Tilia americana*), to remain at 13 tree species.

13 Coarse woody debris volume in the pulldown site was an order of magnitude larger than
14 in the control (**Fig. 4**). Volume increased slightly from 1993 to 1998, likely due to the delayed
15 death of uprooted trees. Much of the downed wood initially was suspended at least 0.5 m from
16 the ground. By 2010, volume had decreased from 240 m³/ha to 182 m³/ha, and had settled to the
17 forest floor. Average piece diameter was 16-19 cm in the pulldown, and 10-11 cm in the control.

18 *Mechanisms of regeneration*

19 Trees regenerated through three mechanisms: advance regeneration, sprouting of
20 damaged trees, and new seedlings. Prior to the manipulation, there were 5700 stems/ha of
21 advance regeneration in the pulldown. *P. serotina* and *B. lenta/allegghaniensis* were most
22 numerous, but *A. rubrum* stems were relatively larger. In 1990 there were 3250 stems/ha of
23 advance regeneration in the control. *A. rubrum* was the most important, followed by *P. serotina*,

1 *B. lenta/alleganiensis* and *Pinus strobus*. Sapling numbers in the pulldown increased four-fold
2 by 1993, then declined (**Fig. 5a**). In the control they increased 70% from 1990-1999.

3 Advance regeneration density increased modestly in the pulldown and control sites from
4 1990-1996, as stems that originated prior to 1990 grew above the 0.3 m height threshold.
5 Seedlings that established after the manipulation comprised the majority of the regeneration pool
6 in the pulldown, and about one-quarter of the saplings in the control by 1999. Sprouting from
7 damaged trees was rapid and prolific after the manipulation (**Fig. 6; year** $F_{1,10} = 3.27$, $P = 0.101$
8 and **year**² $F_{1,10} = 23.24$, $p < 0.001$). Sprouting increased in the control site as well, but more
9 slowly (**treatment** $F_{1,10} = 17.40$, $P = 0.002$ and **year** \times **treatment** $F_{1,10} = 12.40$, $P = 0.006$); the
10 number of trees with basal sprouts in the two sites was similar for years 10-20. In 1993, sprouts
11 comprised about 20% of the pulldown regeneration pool, but attrition caused their importance to
12 decline to 15% in 1996 and 1999 (**Fig. 5a**).

13 Relative importance of the regeneration mechanisms shifted when we examined which
14 saplings grew into the tree-sized (≥ 5 cm DBH) cohort. Although new seedlings dominated the
15 regeneration pool, advance regeneration contributed $>80\%$ of recruits in 1999 (**Fig. 5b**) in the
16 pulldown, whereas new seedlings and sprouts contributed 6% and 11%, respectively. In 2010,
17 the new cohort was dominated by *B. lenta* advance regeneration. In 1999, there were no recruits
18 in the control, but some (about 10% as many as in the pulldown) by 2010.

19 *Response of the understory flora*

20 More than twice as many herb and shrub species were added or lost to the pulldown plots
21 than the control plots (**Appendix B**), but most of these changes were transient. At the end of 20
22 years, a total of four species were lost and six added in the pulldown, whereas the control site
23 lost three and gained four species.

1 The pulldown herbaceous community (forbs, ferns, graminoids) composition reached its
2 maximum dissimilarity with pre-disturbance conditions in 1995 on NMDS axis 1 and in 2005 on
3 NMDS axis 2 (**Fig. 7a**). Some of the species that plotted near the pulldown herbaceous
4 community in 1995 were transient colonizers of disturbed areas, such as *Erechtites hieracifolia*,
5 *Lobelia inflata*, *Potentilla simplex* and *Solidago* species. The control herb community showed
6 modest change along NMDS axis 2 over the 20 years. Herb cover in the pulldown showed no
7 significant changes over time or in contrast to the control (**Fig. 8a**).

8 The pulldown shrub community reached its maximum dissimilarity with pre-disturbance
9 composition and cover 5-10 years after the manipulation (**Fig. 7b**). Some of the shrub species
10 associated with these years colonized the site following the manipulation (e.g., *Rubus* species,
11 *Sambucus pubens* and *Rhus typhina*). Two invasive shrubs entered the site following
12 manipulation (*Lonicera morowii* and *Celastrus orbiculatus*); by 2005, *L. morowii* had died but *C.*
13 *orbiculatus* persisted. By 2010, the pulldown shrub community returned to nearly the same
14 ordination plot location as its starting point. The control shrub community showed modest
15 directional change over the 20 years. In the control plot overall shrub cover more than tripled
16 from 2.2% to 7.7% average cover from 1990-2010, whereas in the pulldown shrub cover
17 increased for the first 10 years, then declined from year 10-20 (**Fig. 8b**). We observed shrub die-
18 back in several pulldown plots during the 2005 survey.

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Discussion

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Our 20-year, controlled hurricane experiment allows better understanding of the trajectories and mechanisms of forest responses to, and recovery from, intense windstorms. The experiment effectively mimicked patch size and natural damage observed in hardwood stands

1 following the 1938 hurricane (Foster 1988b, Foster and Boose 1992). Surprisingly, many of the
2 damaged and even prostrate trees survived, produced leaves, and sprouted vigorously for 2-3
3 years following the experimental treatment (Cooper-Ellis et al. 1999). The maintenance and
4 development of substantial leaf area from surviving and sprouting damaged trees muted
5 microenvironmental changes and helped to stabilize ecosystem processes; few changes were
6 observed in soil temperature, moisture, respiration and carbon fluxes or nitrogen dynamics in the
7 year after the manipulation (Bowden 1993). Now, twenty years into the study, the forest has
8 passed through the first stages of vegetation reorganization.

9 *Survivors control the regeneration process*

10 In contrast to our early expectations that seedlings germinating in response to the
11 manipulation would dominate tree regeneration, we found that survivors, not invaders, control
12 the composition and structure of the disturbed forest. Although 80% of the existing canopy was
13 damaged, the few surviving *Q. rubra* continue to dominate stand basal area. The new cohort was
14 mainly composed of advance regeneration that was established in the understory before the
15 disturbance. Sprouts from damaged trees initially stabilized the site, modulated the understory
16 environment, and made a minor contribution to the new cohort, and a few fast-growing
17 seedlings, often on mound microsites, played a minor role in the new cohort. Light-demanding
18 seedlings of *B. papyrifera* and *P. serotina* comprised 15% and 14% of the saplings >0.3 m tall in
19 the pulldown in 1993 (Cooper-Ellis et al. 1999), but few of these recruited into the new canopy
20 as competition from advance regeneration and shading from surviving and sprouting damaged
21 trees reduced their access to light and other resources (cf., Peterson and Pickett 2000). Similarly,
22 disturbance-associated shrubs and herbs colonized the pulldown plot, but few persisted beyond
23 the first decade. Rather than gap theory (Whitmore 1989, Veblen 1992), these findings better fit

1 multi-dimensional disturbance models such as that proposed by Roberts (2004), which explicitly
2 include understory and forest floor disturbance intensity in addition to canopy damage. Although
3 80% of the canopy was removed, the understory and forest floor (8% of the area was covered by
4 pits and mounds; Cooper-Ellis et al. 1999) remained mostly intact.

5 Establishing the experiment in a mixed-hardwood forest allowed us to investigate the role
6 of vegetative reproduction in forest recovery. The sprouting response of damaged trees,
7 especially by the abundant *A. rubrum* (Cooper-Ellis et al. 1999), was critical in stabilizing the
8 site and created a strong environmental filter for vegetation reorganization. Given the large
9 established root system of sprouts, we expected that they would make a major contribution to the
10 new tree canopy, as observed by Putz and Brokaw (1989) and Dietze and Clark (2008).
11 However, the sprouts declined after the first decade and ultimately contributed few stems to the
12 new canopy (**Fig. 6**). The brief increase in sprouting in the control is likely associated with minor
13 canopy disturbance from a heavy winter snow in 1992 (J. O'Keefe, *unpub. data*).

14 *Burgeoning Betula lenta*

15 Twenty years after the manipulation, *B. lenta* advance regeneration accounted for nearly
16 half of the new cohort of trees (**Fig. 3**). Fajvan et al. (2006) found that *B. lenta* advance
17 regeneration had the strongest height growth in the pulldown, compared to other species or
18 conspecific new seedlings of similar height. The dominance of *B. lenta* in forming the new forest
19 canopy was unexpected. It is a common, but rarely dominant, overstory species. Although it is
20 recognized as a mound specialist in hurricane gaps (Henry and Swan 1974), *B. lenta* was able to
21 grow into the canopy from both established seedlings and new seedlings on all
22 microenvironments. Its importance may decline as the forest further develops; however, Motzkin

1 et al. (1999) found that 1938 hurricane damage had a strong positive influence on overstory *B.*
2 *lenta* importance more than 50 years after the storm.

3 In recent decades, *B. lenta* has responded strongly to other disturbances in the region
4 (Ward and Stephens 1996) including the decline of *Tsuga canadensis* by the invasive hemlock
5 woolly adelgid (*Adelges tsugae*; Orwig and Foster 1998). In *T. canadensis* forests, nearly all
6 regeneration is from seed, as the deep shade cast by the canopy precludes advance regeneration.
7 Although not well documented, the ability of *B. lenta* to act both as a pioneer (establishing from
8 seed in large openings) or a more shade-tolerant juvenile (establishing under a closed canopy)
9 fits its intermediate shade tolerance and provides a flexible response to a variety of disturbances.
10 It is possible that this species is becoming more prominent as a result of enhanced deposition of
11 nitrogen from fossil fuel combustion (cf. Aber et al. 2004). *B. lenta* responds strongly to
12 increased availability of nitrate (Crabtree and Bazzaz 1993), which often occurs after canopy
13 disturbance (Orwig et al. 2008). Although small, an increase in nitrate was observed during the
14 first season after the pulldown (Bowden et al. 1993).

15 *B. lenta* shares a generalist strategy with *A. rubrum*, which is second in importance in the
16 new cohort and exhibits characteristic flexibility (Abrams 1998) by contributing stems from
17 advance regeneration and sprouts from damaged overstory stems. Although residual *Q. rubra*
18 dominates the pulldown basal area, it was nearly absent from the new cohort.

19 *Converging, diverging, and persisting trajectories of change*

20 The analyses in this paper are designed to contrast the trajectories of response between
21 the pulldown and control sites. In some cases (basal area, shrub cover), this framework
22 magnified the differing trajectories between the control and pulldown. In others, the fluctuations
23 observed in the pulldown (herb cover, sprouting after the first decade) are mirrored in the

1 control. Such contrasts are more powerful than those from post-hoc comparisons in natural
2 experiments.

3 Trajectories in the pulldown site that rapidly converged with the control include litterfall
4 mass (which can be used as a proxy for stand leaf area, Marshall and Waring 1986), sprouting,
5 and understory vegetation composition. Litterfall mass remained constant in the control site
6 throughout the study. The pulldown site matched that level only six years after the manipulation
7 and thereafter the two sites were the same. Understory flora can provide more persistent
8 indicators of disturbance than the trees (Motzkin et al. 1999), but in this case only six of the
9 twenty-two new herb and shrub species (plus numerous *Carex* species) that colonized the
10 pulldown plots persisted to year 20 (**Appendix B**), and some of those (e.g. three *Rubus* species)
11 became much less abundant over time. Most understory species present prior to the manipulation
12 persisted over the study period in both the pulldown and control sites.

13 The pulse of dead wood added to the forest is far larger than dead wood volumes found
14 typically in forests of this region, including old-growth stands (D'Amato et al. 2008), and
15 contrasts sharply with most of the landscape that has experienced land clearing for agriculture,
16 and salvage harvest of trees after the 1938 hurricane. The large, slowly decomposing pool of
17 coarse woody debris will persistently affect nutrient cycling, carbon dynamics and habitat
18 structure for many decades. In contrast, dead wood volumes remained low in the control site
19 throughout the study period.

20 Trajectories that show slow convergence or divergence were driven by changes in *both*
21 the pulldown and control sites. For example, shrub cover (**Fig. 8b**) increased in both sites for
22 years 1-10, but in years 11-20 shrub cover decreased in the pulldown as it entered the stem

1 exclusion phase of stand development, while the control continued to gain shrub cover as it
2 entered the understory reinitiation phase of development (Oliver and Larson 1996).

3 Notably, the control site added 20% biomass (as estimated by basal area) as it developed
4 from age 75 to 95, markedly slowing convergence between the control and pulldown (**Fig. 2a**).
5 Although the pulldown site is gaining basal area more rapidly than the control and should
6 recover to its pre-disturbance basal area by about year 30, it will lag behind the growing control
7 for decades to come, with implications for carbon storage (Zeng et al. 2009). In addition,
8 although there was little turnover in tree species composition, the relative abundances of major
9 species diverged over time, with increasing importance of *Q. rubra* in the control and emergence
10 of a novel *B. lenta*-dominated cohort in the pulldown.

11 **Conclusion**

12 This controlled experiment showed that although the scale and intensity of damage were
13 great, abundant advance regeneration, understory vegetation, and damaged trees remained,
14 allowing the forest to resist changes in ecosystem processes and invasion by new species.
15 Hurricanes generate extensive, severe damage, but even the largest disturbances do not
16 homogenize the landscape (Foster and Boose 1992, Turner 2010), so survivors can play a key
17 role in post-disturbance succession. What is most surprising about this study is that even with
18 80% canopy removal across an 8000m² gap, early successional trees and understory plants did
19 not strongly contribute to the post-disturbance forest development. Temperate forests are
20 remarkably resilient to natural disturbance. Consequently, it often takes multiple or interacting
21 disturbances, such as hurricane damage followed by salvage logging, to drive these ecosystems
22 to an early successional phase.
23

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Appendices

20

Appendix A. Basal area and density over time in the pulldown and control plots.

21

Appendix B. Understory vegetation composition table.

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Appendix C. Additional statistical output for ANCOVA and breakpoint analyses.

23

Figure Legends

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Figure 1. Stem maps of the pulldown and control sites before the manipulation (1990) and after twenty years (2010). The long axes of the plots are oriented approximately east-west. In the upper panels, gray points represent all trees living in 1990. In the lower panels, gray points show trees that survived from 1990-2010 and black points represent live trees in 2010 that were recruited into the tree-sized (>5 cm dbh) class since 1990.

Figure 2. Trajectories of change in basal area (**a**) and litterfall (**b**). Basal area and litterfall data were scaled to the 1990 pre-treatment observations by dividing each observation in a treatment by the pre-treatment value, to show how the pulldown and control changed over time compared to their respective pre-treatment baseline values. Although basal area (**a**) in the pulldown was gained at about twice the rate as in the control (inset), the steadily accruing basal area in the control will slow the pulldown site from reaching control levels for decades. Litterfall (**b**) increased rapidly in the pulldown from 1991-1996, followed by steady litterfall that did not differ from the control from 1997-2010. Litterfall was steady across 20 years in the control; although fitted litterfall differed slightly between the first and second periods, the two estimates fall within the standard error of one another (dotted lines).

Figure 3. Diameter distributions at the beginning of the study (1990) and after 20 years (2010) for all stems (lines) and major species (bars). Basal area distribution by size class is shown as the area backdrop. Although there were few *Q. rubra* in the pulldown in 2010 (46 trees per hectare from 15-70 cm, most above 35 cm diameter), these contributed 42% of the 2010 basal area.

1 **Figure 4.** Coarse woody debris over time and by height from the ground in the pulldown (top)
2 and control (bottom) sites.

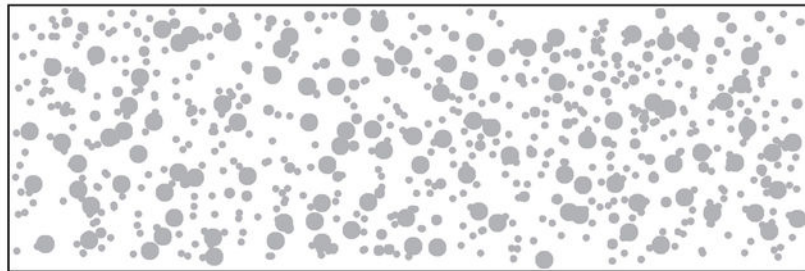
3
4 **Figure 5.** Tree regeneration density by seedlings, sprouts and advance regeneration, for (a) stems
5 >0.3 m tall (1990-1999), and for (b) the subset of these stems that crossed the tree-sized (5 cm
6 dbh) threshold in 1999, based on tracking individuals in subplots. Data for 2010 are from a
7 census of all live new stems; it was not possible to distinguish advance regeneration from
8 seedling origin stems. *Betula lenta*, *B. alleghaniensis* and *Acer rubrum* were the most common
9 species throughout the 20 years; by 2010, 48% of the new tree-sized stems were *B. lenta* and
10 18% were *A. rubrum*.

11
12 **Figure 6.** Trajectories of change in the number of trees with basal sprouts in the pulldown and
13 control sites. Fitted lines are significant polynomial ANCOVAs using centered values for ‘year.’

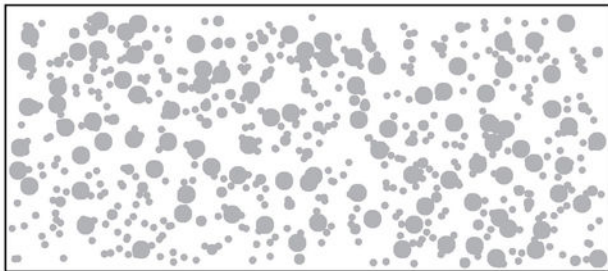
14
15 **Figure 7.** NMDS ordinations showing changes in the herb (a) and shrub (b) communities.
16 Arrows follow trajectories of community change over time. Sites are labeled by treatment and
17 year (e.g. Exp10 is the experimental site in 2010). Species plotted illustrate species that changed
18 the most, or that differed between the pulldown and control. These are labeled by the first three
19 letters of the genus and the first three letters of the species (**Appendix B** provides a species list).

20
21 **Figure 8.** Trajectories of change in herb cover (a) and shrub cover (b). ANCOVA analysis
22 showed no significant changes in herb cover between treatments or over time; the fitted lines for
23 shrubs are significant polynomial ANCOVAs using centered values for ‘year.’

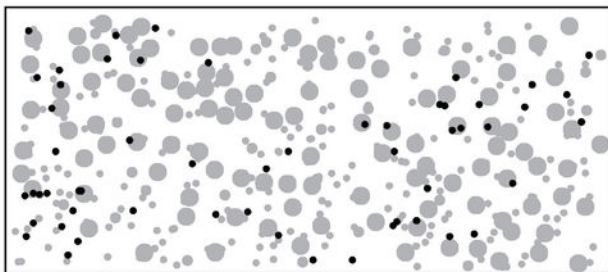
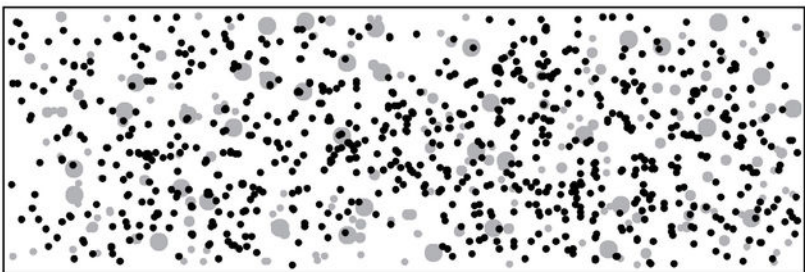
Pulldown



Control



1990



2010

0 20m

Tree diameter (cm)

- 5-20
- 20-30
- >30

Figure 1

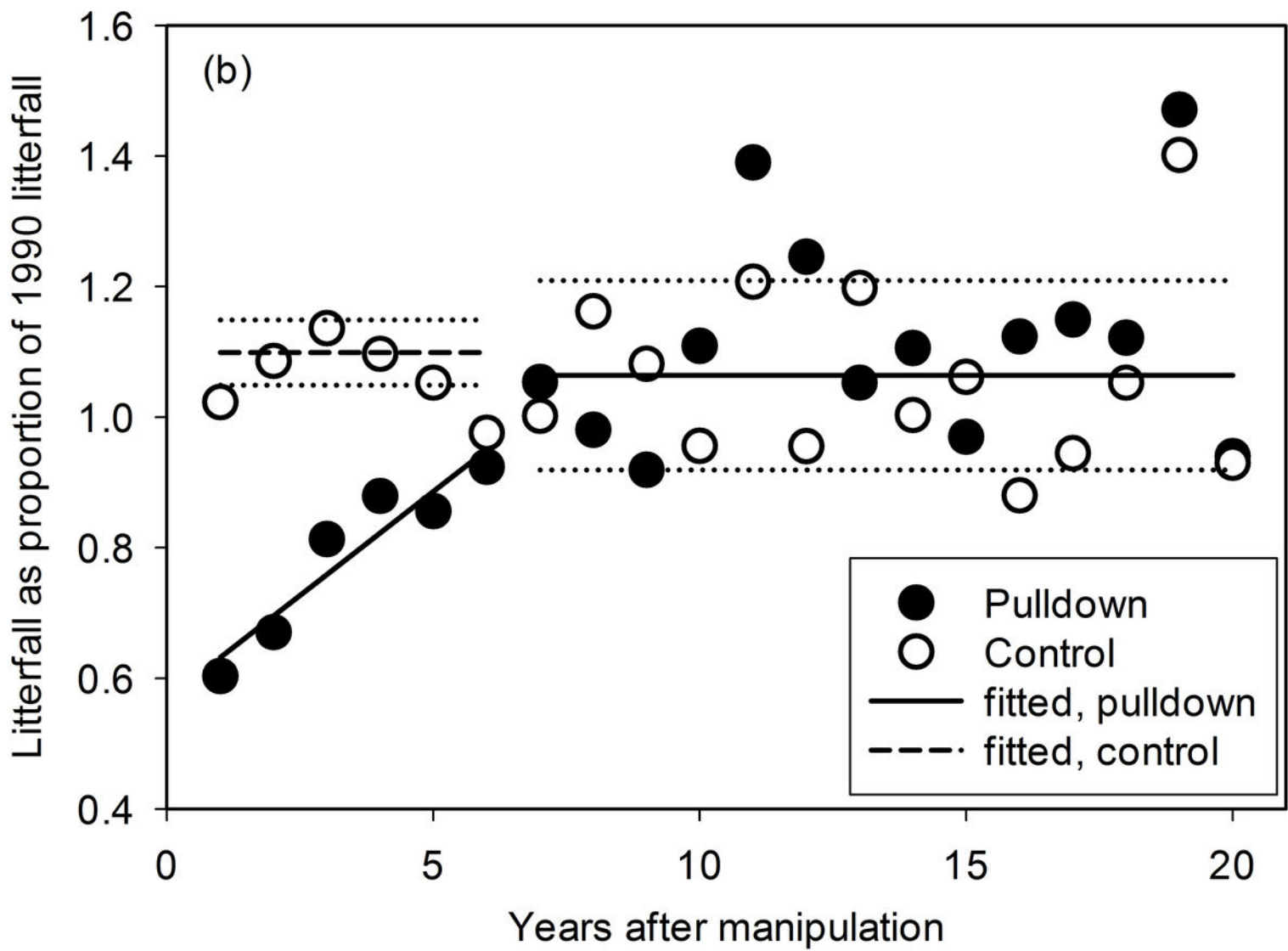
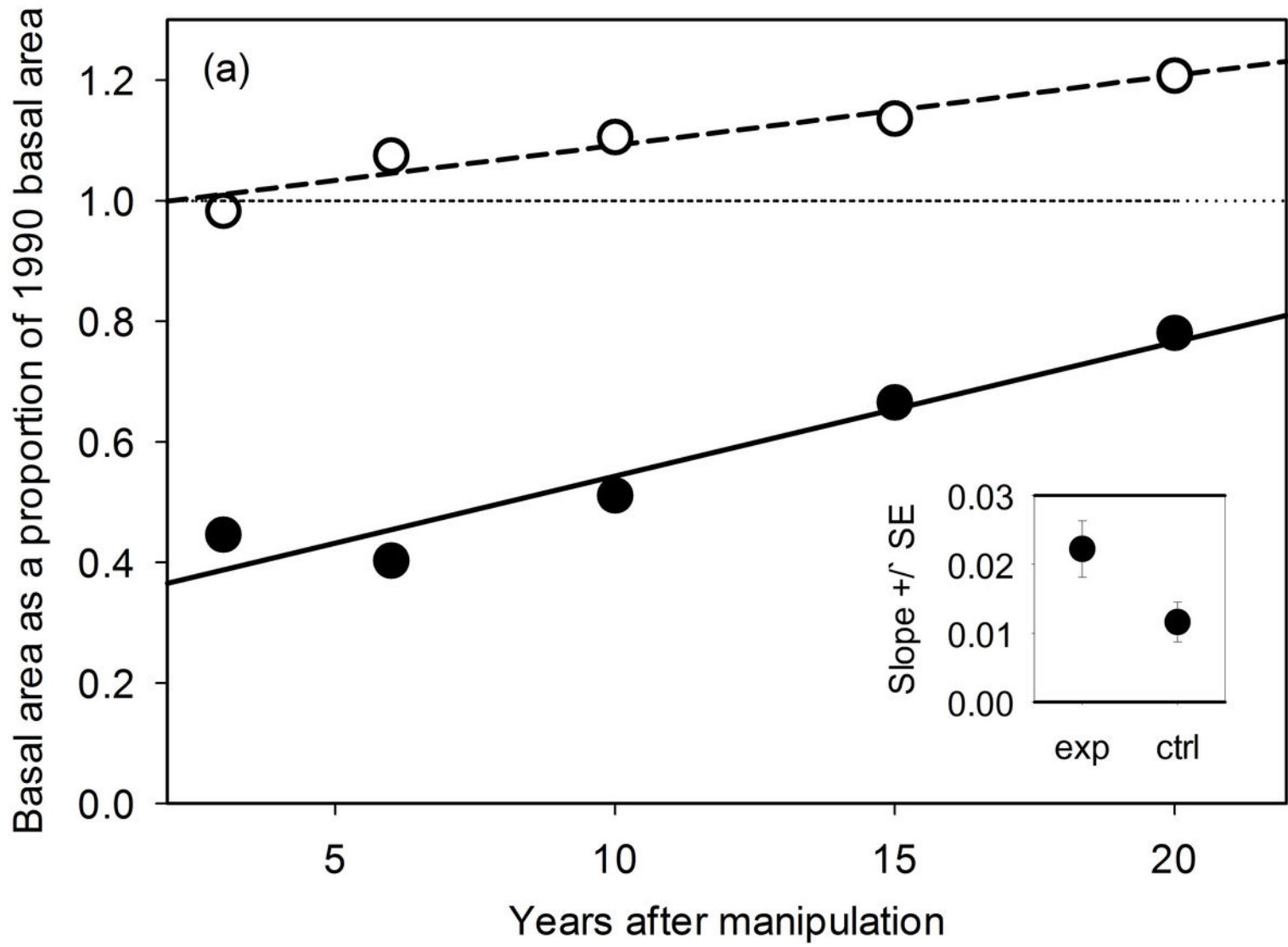


Figure 2

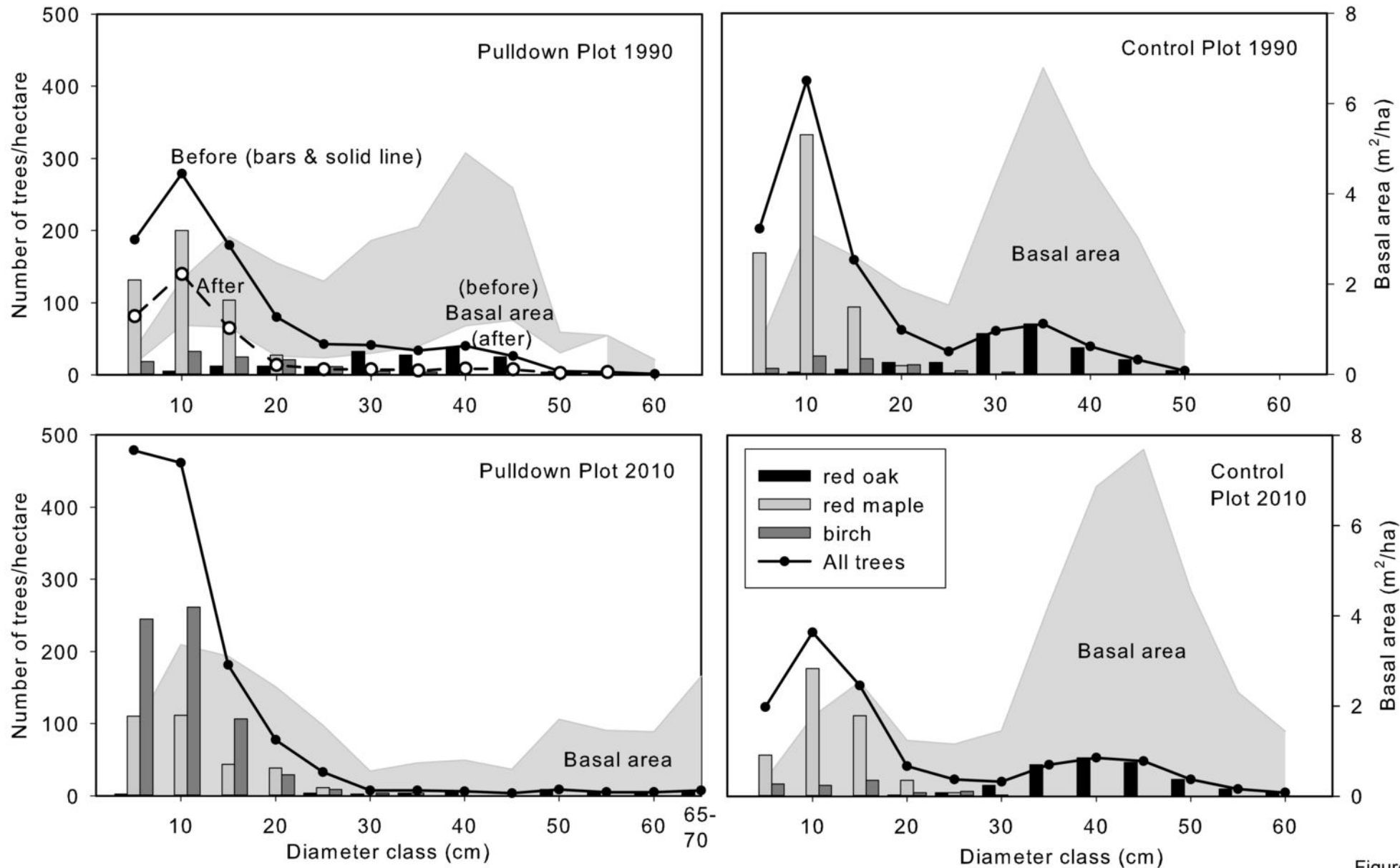
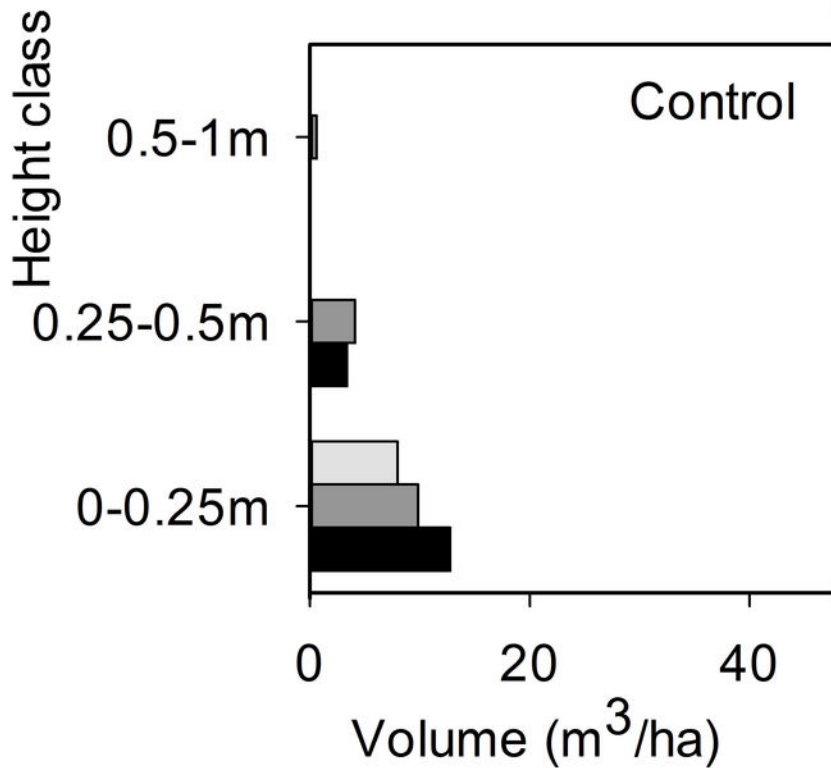
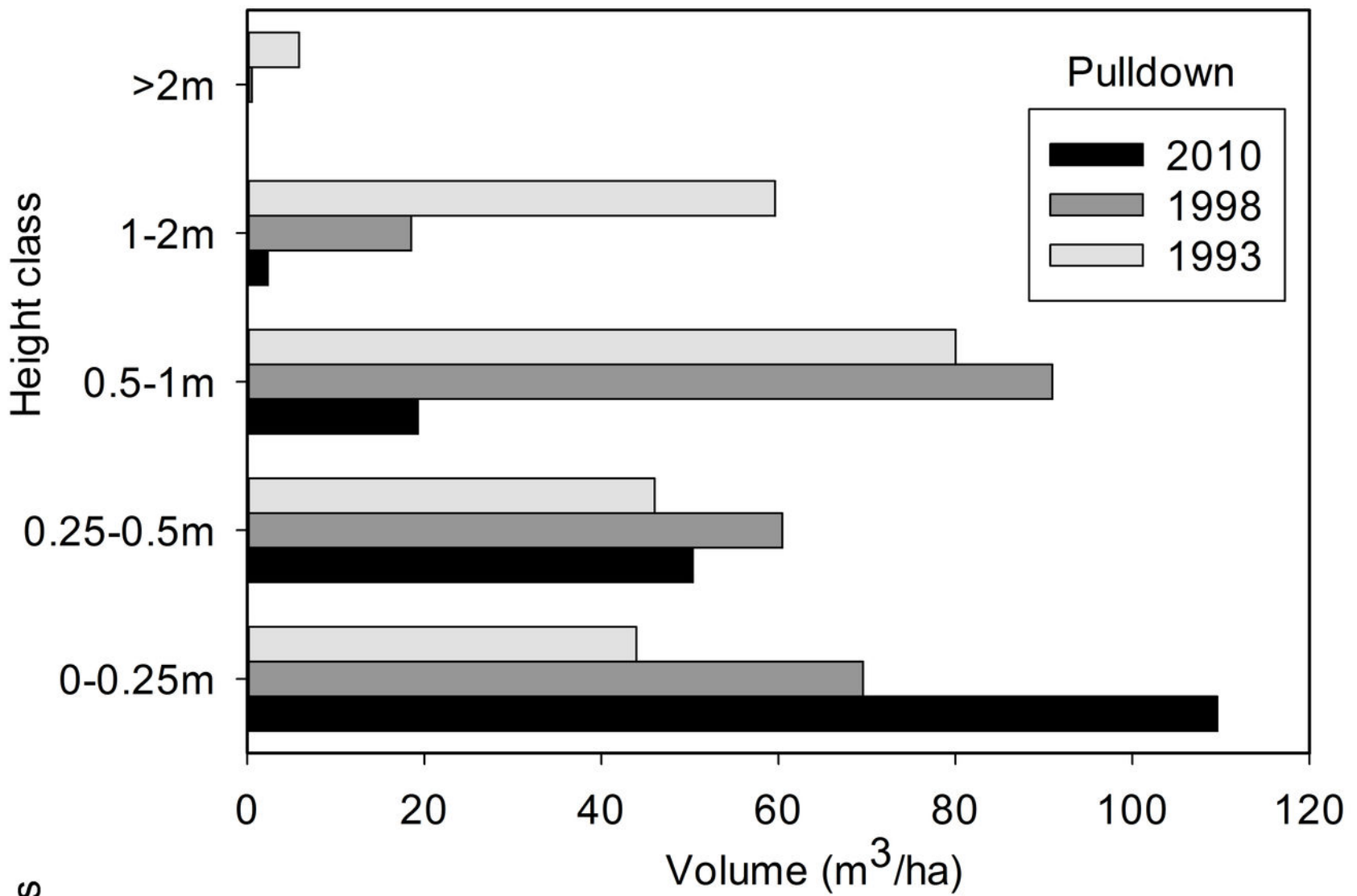


Figure 3



Total volumes:

Pulldown

1993: 235 m³/ha

1998: 240 m³/ha

2010: 182 m³/ha

Control

1993: 8 m³/ha

1998: 15 m³/ha

2010: 16 m³/ha

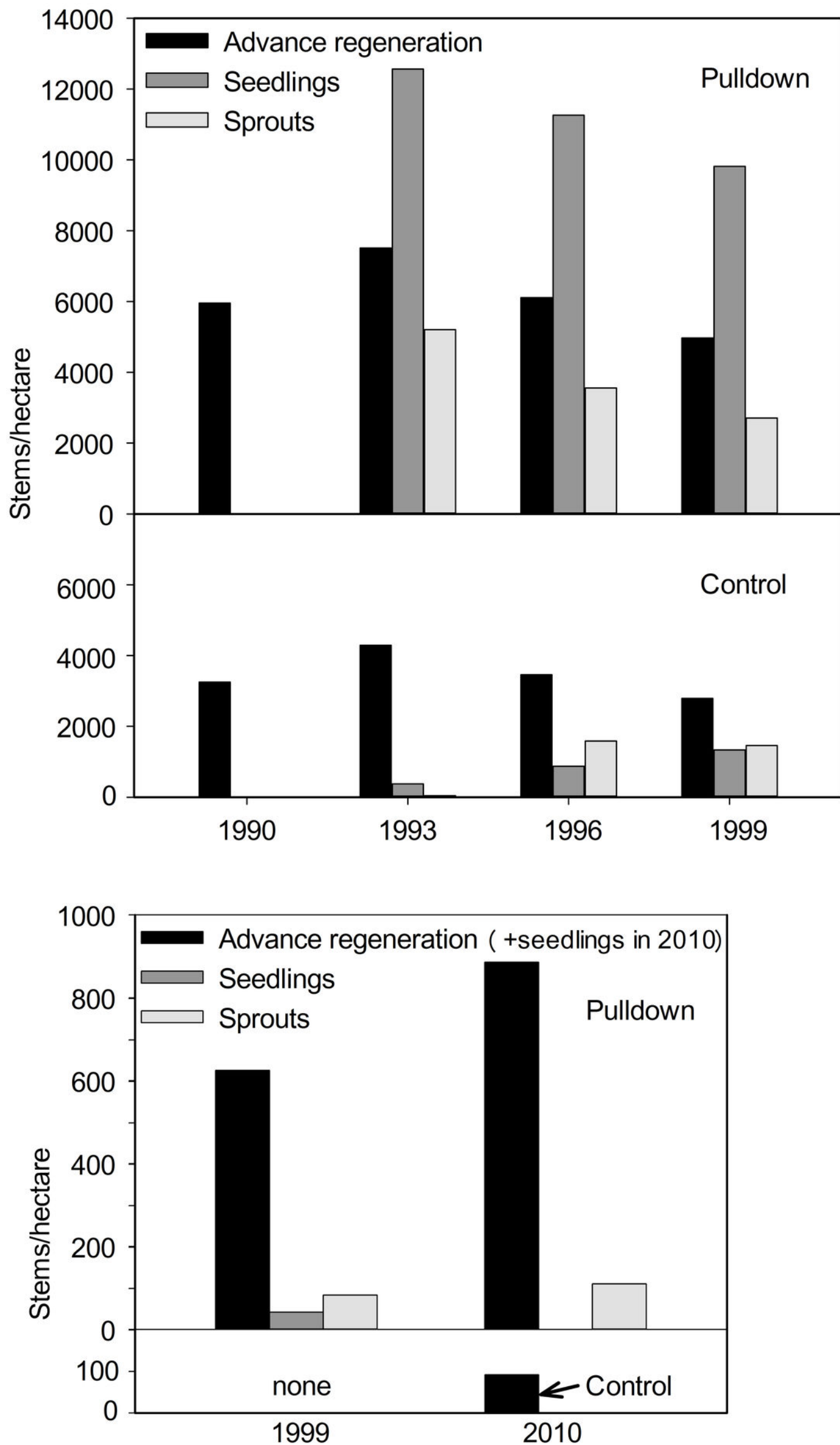


Figure 5

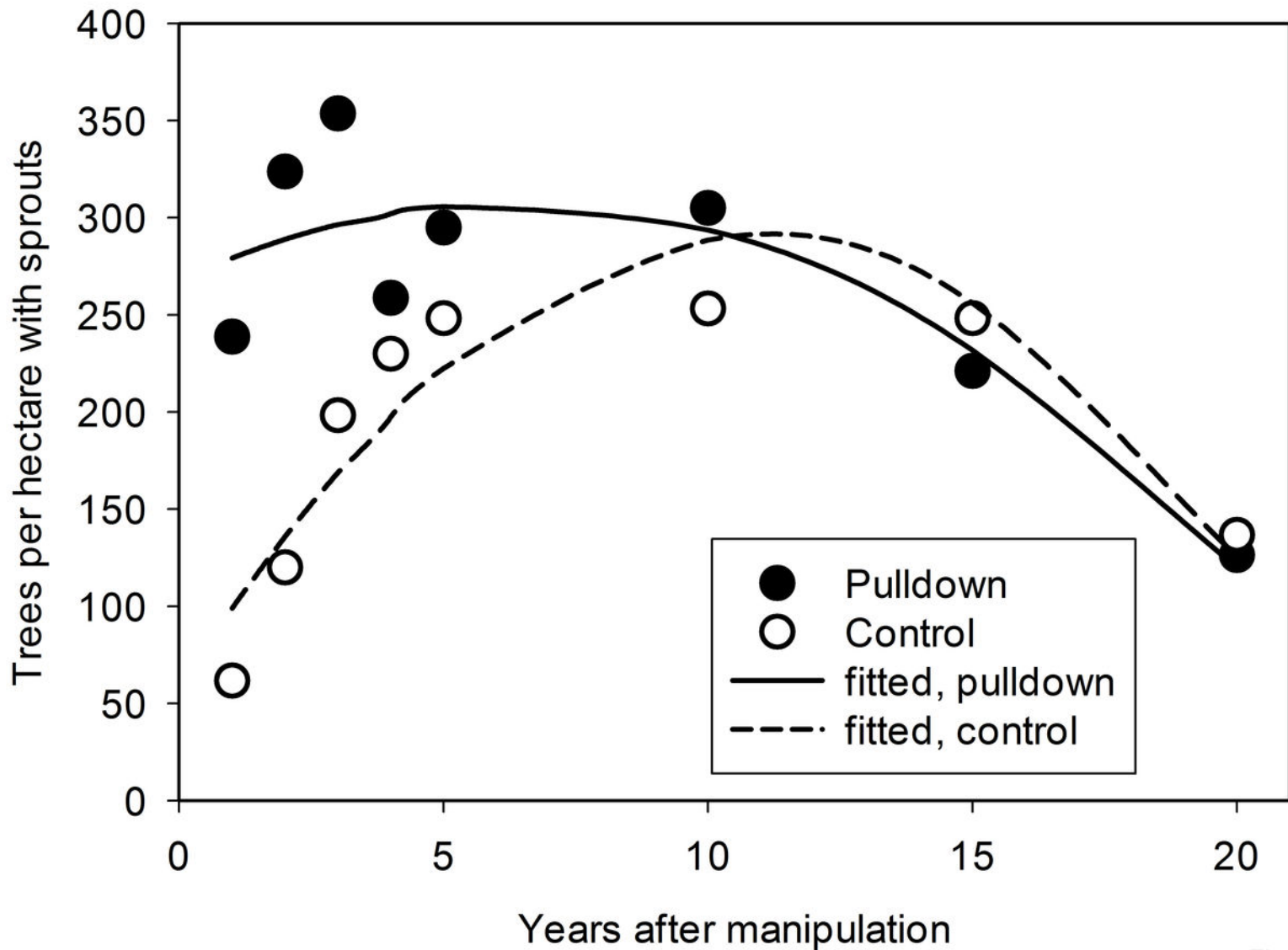


Figure 6

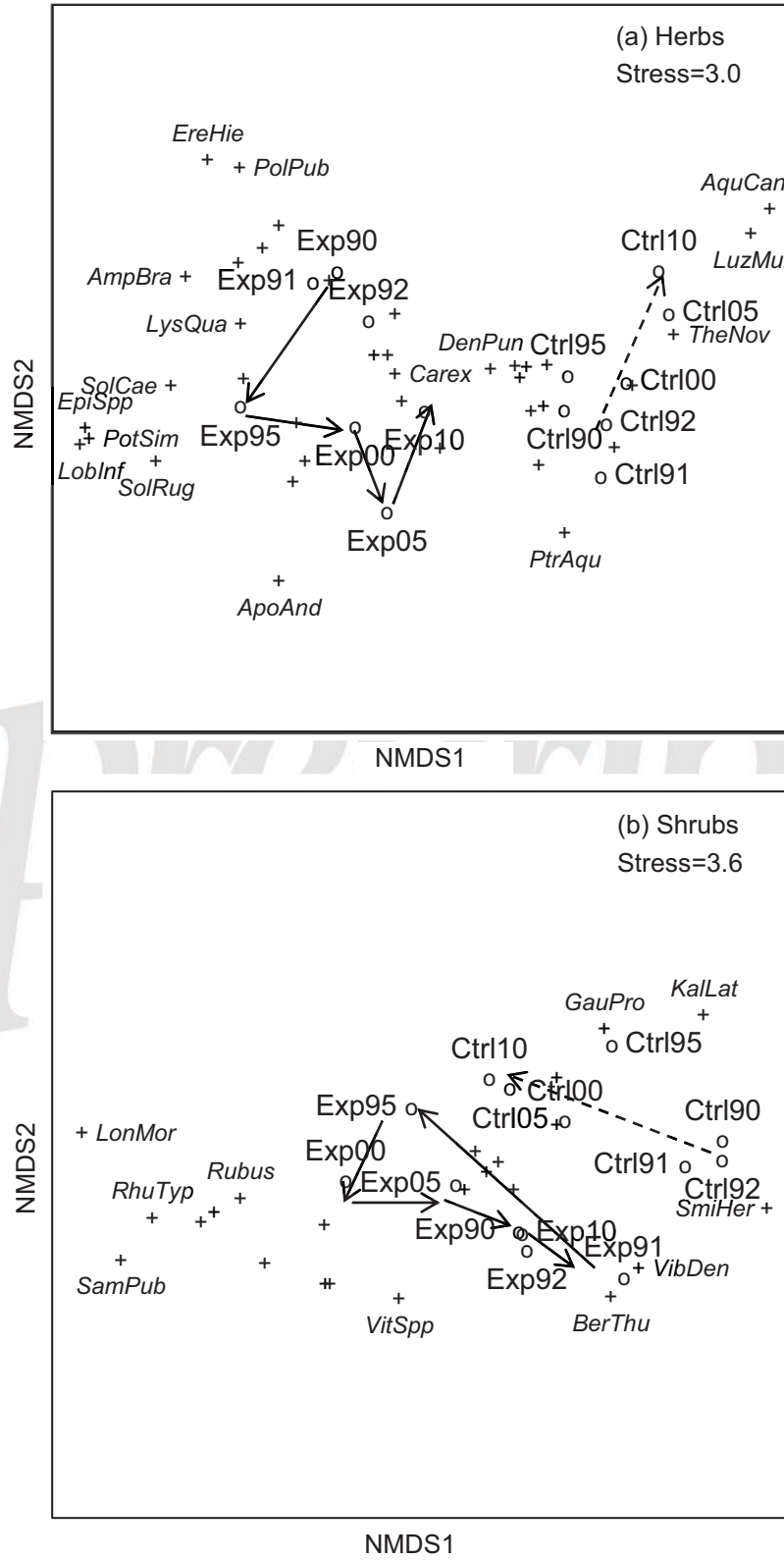


Figure 7

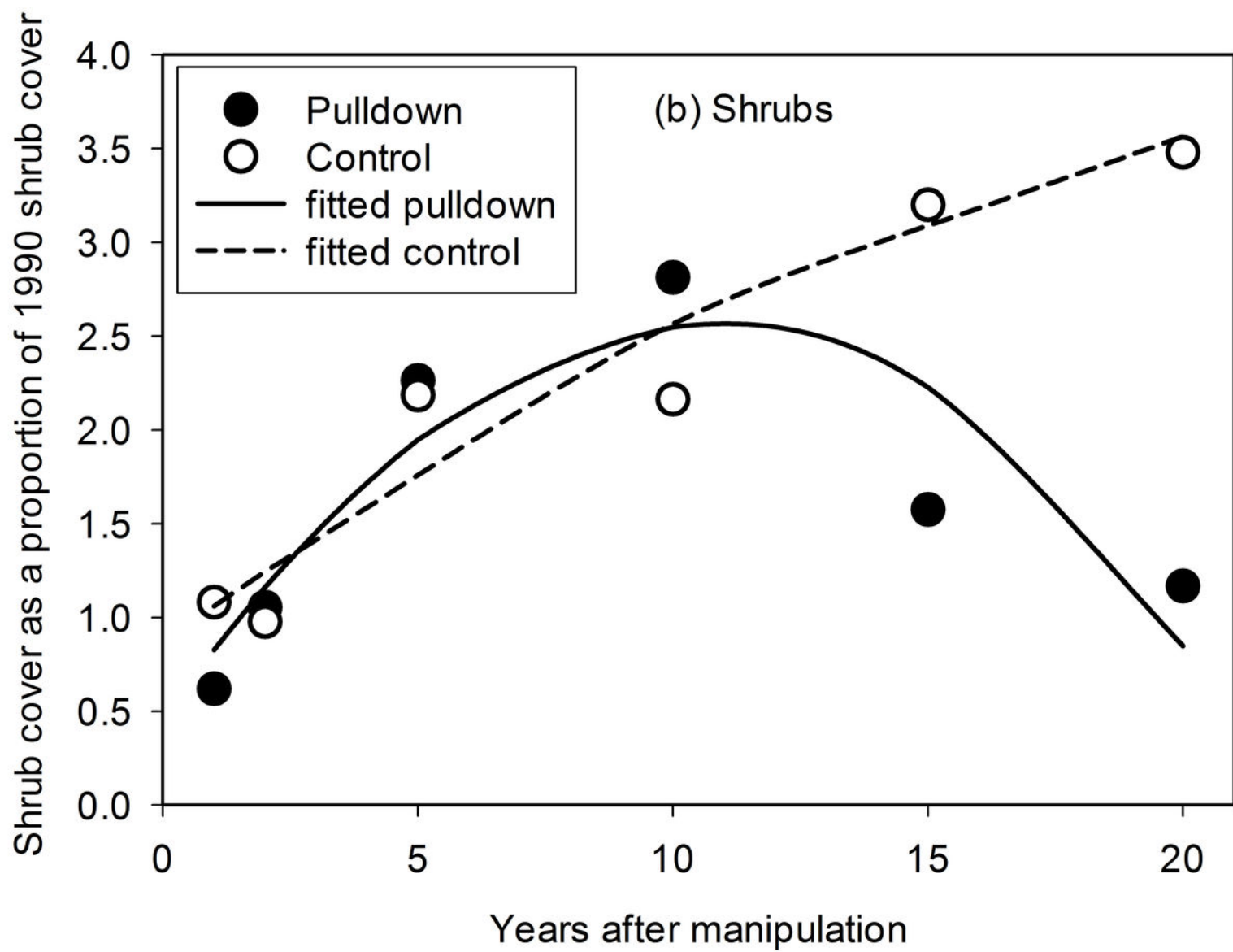
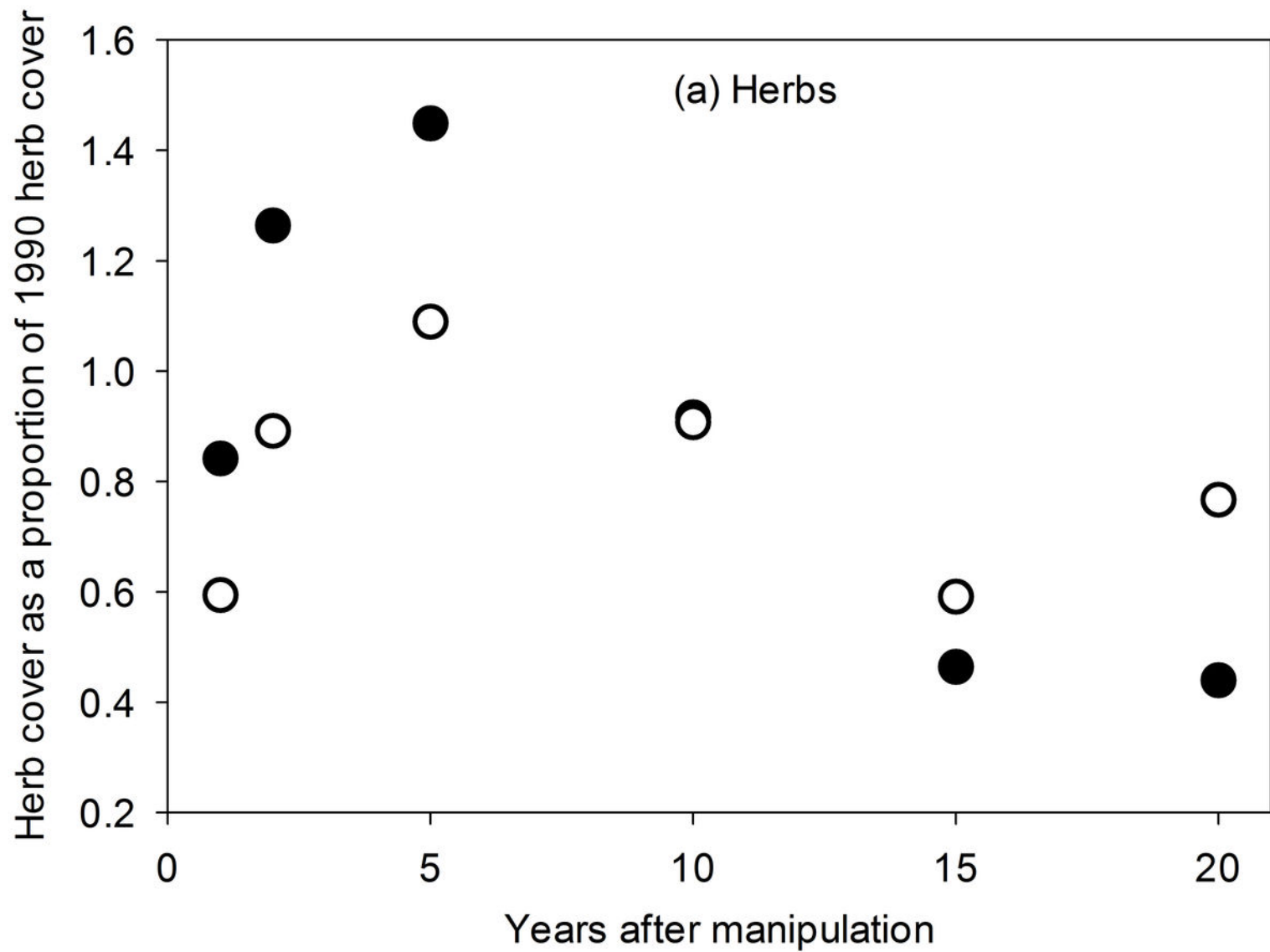


Figure 8